



## Notification letter for pre-marketing towards potential professional investors in the European Union

This notification letter is used for the purpose of notifying pre-marketing arrangements:

- by Danish authorized alternative investment fund managers (“AIFMs”) pursuant to Article 30a of the Directive 2011/61/EU on Alternative Investment Fund Managers (“AIFMD”) as implemented in section 88 a in the Danish consolidated act on alternative investment fund managers etc. (“AIFM Law”)
- by Danish managers qualifying as venture capital funds (“EuVECA managers”) pursuant to Article 4a of the Regulation (EU) No 345/2013 on European venture capital funds (“EuVECA Regulation”)
- by Danish managers of qualifying entrepreneurship funds (“EuSEF managers”) pursuant to Article 4a of Regulation (EU) no 346/2013 on European social entrepreneurship funds (“EuSEF Regulation”).

**Under which of the following conditions has or will the manager pre-market towards professional investors:**

- Article 30a of the AIFMD (Section 88 a of the AIFM Law)
- Article 4a of the EuSEF Regulation
- Article 4a in the EuVECA Regulation

### Information on the AIFM/EuVECA manager/EuSEF manager

Name:
Address:
Registration number in Finanstilsynet:

### Contact person of the AIFM/EuVECA manager/EuSEF manager

Contact person:
Position:
Telephone number:
E-mail address:

### Information of third party engaging in pre-marketing on behalf of the AIFM/EuSEF manager/EuVECA manager (if applicable)

Name:
Address:



### Contact person of the third party

Contact person:
Position:
Telephone number:
E-mail address:

### What is the third party authorized as?

- An investment firm in accordance with Directive 2014/65/EU
- A credit institution in accordance with Directive 2013/36/EU
- An undertaking for collective investments in transferable securities in accordance with directive 2009/65/EC
- An authorized AIFM in accordance with Directive 2011/61/EU.
- A tied agent in accordance with Directive 2014/65/EU

### Member States, pre-marketing period, AIF's and compartments of the AIF's

Member States in which the pre-marketing is taking or has taken place	Pre-marketing period	List of AIF's and compartments of AIF's which are or were the subject of pre-marketing ( <i>where relevant</i> ).

### Brief description of the pre-marketing including information on the investment strategies presented

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**The signer of this document confirms the following:**

- I. the information provided in this notification letter contains all the relevant information as required by the applicable legislation
- II. the information in this form is accurate and complete
- III. the signer is authorized to sign this letter on behalf of the AIFM/EuVECA manager/EuSEF manager.

Signature:

Date:

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